Memorandum of Understanding 1 2 between 3 **University of Oregon** 4 and 5 United Academics of the University of Oregon, AFT/AAUP, AFL-CIO 6 7 This Memorandum of Understanding ("MOU") is entered into by and between University of Oregon ("University") and United Academics ("Union"), collectively referred to as "the 8 9 parties." 10 a. WHEREAS, in the summer of 2020, the parties agreed to an MOU that outlined key 11 principles for an expectation of continued employment for Career faculty (Career Faculty 12 Key Principles). The language changes that implemented those key principles was to be 13 further developed through a workgroup that would meet over fall term AY 20-21. 14 15 b. **WHEREAS**, a joint University and Union workgroup met through fall term AY 20-21 16 and reached agreement on changes to the CBA that implemented the Career Employment 17 Key Principles. 18 19 20 c. WHEREAS, those changes to the CBA are set forth below as well as terms that describe the timing and implementation of the transition to the new Career faculty employment 21 system. 22 23 24 The parties agree as follows: 25 1. **Modifications to the CBA:** Article 15, 16, 19, 23, and 26 are modified as described in 26 the attached redlined exhibits to this MOU. These changes take effect June 16, 2021 for 27 9-month faculty and July 1, 2021 for 12-month faculty (Implementation Dates). Except as 28 specifically stated in the attached exhibits or in this MOU, the CBA remains in full force 29 and effect. 30 31 2. Expectation of Continued Employment MOU: The Career Faculty Key Principles set 32 forth in the September 2020 MOU between the parties remains in effect for purposes of 33 interpreting changes to this CBA. 34 35 3. **References to Contracts:** After the Implementation Dates, the parties agree to interpret 36 remaining references in the CBA related to Career contracts consistent with these 37 changes. 38 39 40 4. **Contract Renewal Reviews:** Notwithstanding the language in current Article 19, Section 41 1, there will be no contract renewals reviews for Career faculty in AY 20-21. 42

5. **Unit Level Rules:** Given the desire to reduce service over AY20-21, units are not required nor is it recommended to open unit-level rules in AY 20-21 to implement changes to the CBA. Units should open their unit-level rules in future years to reflect changes to the Career faculty review process provided for in Article 19. Until unit-level changes are made, unit level rules will be construed as consistent with the attached changes to the CBA – this means, for example, that after the Implementation Dates, contract reviews will be read as performance reviews and the number of required reviews will be reduced as stated in the new Article 19.

6. **Employee Information:** The parties will meet in or by May 2021 to discuss options for Career bargaining unit faculty members to have access to their current status for details such as classification, category, rank, salary, and FTE.

7. **Arbitrator Selection:** In order to implement changes to Article 23, each party will submit two names to be considered by the other party within thirty days of the implementation of this MOU - with the goal of interviewing at least three arbitrators to understand their rates and capacity to hear layoffs under the new expedited arbitration process. The parties' endeavor to mutually agree on at least two arbitrators to place under a retainer contract to hear expedited arbitrations. If the parties cannot mutually agree on two arbitrators, then each party will be able to select one arbitrator to hear these matters with the matters rotating back and forth between the arbitrators, unless such rotation is not possible based on the capacity of the arbitrator. Terms and conditions of the arbitrators' agreements will be based on the default rules of the respective arbitrator unless the parties can mutually agree to a change and the arbitrator agrees to the mutually suggested modification.

8. **Current Career Faculty:** Career faculty members who are currently employed by the University will have an expectation of continued employment as of June 16, 2021 or July 1, 2021 (see #1 above) and will be assigned an annual FTE equal to or greater than the regular FTE they were assigned in AY 20-21, up to 1.0 FTE. There is no expectation that Career faculty members who accepted an overload appointment or other temporary assignment will continue to have an FTE above their regular FTE after the transition to the new Career faculty employment system.ⁱ

Knowing and Voluntary. The parties acknowledge that they have carefully read and fully understand the terms of this MOU, and that they are voluntarily entering into this MOU.

Effective Date. The parties agree that this MOU will be effective on the date at which all parties have signed below.

Entire Agreement. The parties' collective bargaining agreement and this MOU represent the parties' entire agreement with respect to the subject matter discussed in this MOU. Except as

1 2 3	described in this MOU, there were no inducements or representations leading to the execution of this document.						
4 5 6 7 8 9	Disputes. Any disputes arising from the interpretation, implementation, or application of this MOU are subject to the grievance and arbitration provisions of Articles 22 and 23 of the CBA.						
10	David M. Cecil David M. Cecil (Jan 21, 2021 10:34 PST)	Jan 21, 2021	Mark Selfmet (Feb 2, 2021 18:15 PST)	Feb 2, 2021			
	For the Union Dave Cecil, Executive Director	Date	For the University Mark Schmelz, Chief Human Resources Office and Associate Vice President	Date r			
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Temporary assignments are communicated to the faculty member as being one-time or temporary. The language of this communication generally reflects the following: "Your regular AY 20-21 annualized FTE is <X>. With your agreement, we are temporarily increasing your [annualized or term] FTE to <Y> for ([this term] [the academic year]) in order to perform the following temporary assignment -<Example: teach Biology 101 during winter term 2021 for x person on leave or sabbatical>. This temporary increase will end on [insert end date] and will not increase your annualized FTE in future academic years."

Appendix 1: Article 15

ARTICLE 15. ACADEMIC CLASSIFICATION AND RANK

Section 1. The University shall assign each bargaining unit faculty member the classification, category, and rank that most closely reflect the duties described in their contract appointment and job description.

Section 2. Classification

The following are the classifications that apply to faculty bargaining unit positions. A classification identifies the type of position.

a. TENURE-TRACK AND TENURED: A paid position wherein an individual is designated by the University in writing as eligible for tenure or has been granted tenure in writing by the Provost.

b. ACTING: A tenure-track paid position for individuals intended by the University to become tenure-track assistant professors but who have yet to complete the terminal degree.

c. CAREER: A non-tenure track paid position that is ongoing.

d. VISITING: A non-tenure track paid temporary appointment of limited duration (up to two years) for (1) an individual who holds a like, similar, or relevant appointment at another institution or (2) pursuant to norms of the specific discipline, an individual who has recently obtained a terminal degree and is seeking further professional experience prior to seeking a professorship.

 e. PRO TEMPORE: A non-tenure track paid appointment that is intermittent or of limited duration, except as provided in Article 16, Section 17. Pro Tem positions are intended to allow a department or unit to fill short-term needs including, but not limited to, enrollment fluctuations, replacement staffing needs, or to accommodate partner or opportunity hires.

f. POSTDOCTORAL SCHOLAR: A non-tenure track paid, mentored research, instructional, librarian, or combined position that is of limited duration for individuals who have earned a doctoral degree.

g. RETIRED: A non-tenure track paid appointment post-retirement. A bargaining unit faculty member is considered to be retired if they resign, is non-renewed, or is terminated without cause from employment with the university, or enters into a tenure reduction or relinquishment agreement and is:

eligible for unreduced or reduced benefits under the Public Employees Retirement 1 System (for participants in PERS) or the Oregon Public Service Retirement 2 Program (for participants in OPSRP); 3 4 eligible under Internal Revenue Service rules to withdraw funds from an account 5 ii. established under Optional Retirement Plan and meets the requirements for 6 unreduced or reduced benefits under, depending on date of hire, PERS Tier 1 or 2 7 or the OPSRP. 8 9 10 This classification includes the post-retired or emeritus faculty described in Article 1, Recognition. 11 12 13 Section 3. Category 14 15 The following are the categories that apply to bargaining unit positions. A category describes a rank or group of ranks. 16 17 a. PROFESSOR: This category can only be used in the Tenure-Track or Tenured, Acting, 18 Visiting, or Retired classifications. This category requires paid appointment with duties 19 in all three areas of independent research, scholarship, and/or creative inquiry; 20 instruction; and service. 21 22 b. CLINICAL PROFESSOR: This category can only be used in the Visiting, Career, Pro 23 Tem or Retired classifications. This category requires a non-tenure track paid 24 appointment for individuals with primary duties in the area of clinical instruction or 25 research. 26 27 c. PROFESSOR OF PRACTICE: This category can only be used in the Visiting, Career, 28 Pro Tem, or Retired classifications. This category requires a non-tenure track paid 29 appointment with primary duties in the area of research or instruction. This category is to 30 be held by eminently qualified professionals who have had a major impact on fields and 31 disciplines important to University of Oregon programs. A Professor of Practice will: 32 33 i. have a substantial basis of experience equal to a tenured professor (normally a 34 minimum of 12 years) and a national/international reputation for excellence reflected 35 36 in a record of significant accomplishments; 37 ii. have a profile of accumulated professional accomplishments fully congruent with the 38 rank of professor; 39 40 iii. have a rich and extensive background in a field and discipline relevant to the school, 41 college, or unit of appointment at the University of Oregon; and 42 43 iv. serve as a liaison between the professional field and the University of Oregon. 44

d. INSTRUCTOR: This category can only be used in the Visiting, Career, Pro Tem or Retired classifications. This category requires a non-tenure track paid appointment with primary duties in the area of undergraduate instruction. Instructor duties may include advising and mentoring responsibilities as well as possibility of involvement in design and development of courses and the curriculum.

- e. LECTURER: This category can only be used in the Visiting, Career, Pro Tem or Retired classifications. This category requires a non-tenure track paid appointment with primary duties in the area of graduate instruction and education. The duties may also include some undergraduate instruction and mentoring and advising responsibilities, as well as the possibility of involvement in design and development of courses and the curriculum. Appointments in the Lecturer category require the terminal degree (or its professional equivalent) relevant to the appointment, but holding a terminal degree does not by itself entitle a bargaining unit faculty member to appointment in the Lecturer category.
- f. LIBRARIAN: This category can only be used in the Visiting, Career, Pro Tem, or Retired classifications. This category requires a non-tenure track paid appointment with primary duties in the university libraries. Appointments in the Librarian category require a terminal professional degree, but holding a terminal degree does not by itself entitle a bargaining unit faculty member to appointment in the Librarian category.
- g. RESEARCH ASSISTANT: This category can only be used in the Visiting, Career, Pro Tem or Retired classifications. This category requires a non-tenure track paid appointment for individuals who have typically earned a bachelor's or master's degree. Primary duties are in the area of research. Research Assistants typically work as members of a research team under the direct supervision of other faculty researchers.
- h. RESEARCH ASSOCIATE: This category can only be used in the Visiting, Career, Pro Tem, or Retired classifications. This category requires a non-tenure track paid appointment for individuals who have the terminal degree relevant to the appointment. Primary duties are in the area of research, which are typically undertaken as part of a research team or lab. Appointments in the Research Associate category require a terminal degree (or its professional equivalent) in a relevant field, but holding a terminal degree does not by itself entitle a bargaining unit faculty member to appointment in the Research Associate category.
- i. RESEARCH PROFESSOR: This category can only be used in the Visiting, Career, Pro Tem or Retired classifications. This category requires a non-tenure track paid appointment with duties primarily in the area of independent research, scholarship and/or creative inquiry. Appointments in the Research Professor category require a terminal degree relevant to the appointment. Primary duties are independent lines of inquiry, which can be related to the work of colleagues but not dependent on it. A Research Professor will have qualifications and research expectations equal to or exceeding those for a tenure-track/tenured professor at the same rank in related fields.

j. RESEARCH SCIENTIST: This category can only be used in the Visiting, Career, Pro Tem or Retired classifications. This category requires a nontenure track paid appointment for individuals who have at least a bachelor's degree in a arena that is immediately relevant to the research program or research facility in which the position resides. The key differentiator between this position and the research assistant position is the technical nature of skill set required of the position. Holding a relevant degree does not by itself entitle a bargaining unit member to appointment in the research scientist category.

k. RESEARCH ENGINEER: This category can only be used in the Visiting, Career, Pro Tem or Retired classifications. This category requires a nontenure track paid appointment for individuals who have a bachelor's or higher from an accredited engineering program. The key differentiator between this position and the research assistant position is the technical nature of skill set required of the position. Holding a relevant degree does not by itself entitle a bargaining unit member to appointment in the research engineer category.

1. PRINCIPAL RESEARCH SCIENTIST: This category can only be used in the Visiting, Career, Pro Tem or Retired classifications. This category requires a non-tenure track paid research appointment for individuals who have at least a master's degree in an arena that is immediately relevant to the program or research facility in which the position resides. The principle research scientist will:

 Have substantial experience at a responsible technical or managerial level (normally at least 12 years for holders of a master's degree and at least 6 years for a holder of a Ph.D.)

• Show clear evidence of consistent performance in making original and innovative contributions to their discipline.

 Show leadership in developing and management technical projects involving other faculty and students
 Make substantial contributions to the University through service

m. POSTDOCTORAL SCHOLAR: This category can only be used in the Postdoctoral Scholar classification. This category requires a non-tenure track paid appointment for a temporary and defined period of formally mentored research, instruction, librarianship, or scholarly training, for the purpose of allowing the Postdoctoral Scholar to acquire the professional skills needed to pursue a career path of their choosing. The appointment requires a doctoral degree. At the time of appointment, hiring documentation should

Section 4. Rank

The following are the ranks within categories that apply to bargaining unit faculty members.

include an articulated program of mentoring with an identified mentor.

46 Ranks define the level of promotion within a category.

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professor, and professor.

a. PROFESSOR: Ranks in this category in ascending order are assistant professor, associate

- b. CLINICAL PROFESSOR: Ranks in this category in ascending order are assistant clinical professor, associate clinical professor, and clinical professor.
- c. PROFESSOR OF PRACTICE: The only rank in this category is professor of practice.
- d. INSTRUCTOR: Ranks in this category in ascending order are instructor, senior instructor I. senior instructor II.
- e. LECTURER: Ranks in this category in ascending order are lecturer, senior lecturer I, senior lecturer II.
- f. LIBRARIAN: Ranks in this category in ascending order are assistant librarian, associate librarian, and senior librarian.
- g. RESEARCH ASSISTANT: Ranks in this category in ascending order are research assistant, senior research assistant I, senior research assistant II.
- h. RESEARCH ASSOCIATE: Ranks in this category in ascending order are research associate, senior research associate I, senior research associate II.
- i. RESEARCH PROFESSOR: Ranks in this category in ascending order are assistant research professor, associate research professor, and research professor.
- j. POSTDOCTORAL SCHOLAR: The only rank in this category is Postdoctoral Scholar.
- k. RESEARCH SCIENTIST: Ranks in this category in ascending order are research scientist, senior research scientist I, and senior research scientist II.
- 1. RESEARCH ENGINEER: Ranks in this category in ascending order are research engineer, senior research engineer I, and senior research engineer II.
- m. PRINCIPAL RESEARCH SCIENTIST: The only rank in this category is principal research scientist.
- Section 5. At the time of hire, the University shall assign each bargaining unit faculty member a rank within the classification and category described in the job posting.
- Nothing shall preclude a bargaining unit faculty member from being assigned and performing other duties not described in his or her their specific classification, category, or rank as long as those duties are consistent with his or her their job description.

Section 6. If the University non-renews lays off a bargaining unit faculty member in a position in the Career classification for economic or programmatic reasons, then the position cannot be refilled in the Career, Visiting, Pro Tem, or Postdoctoral Scholar classification within the subsequent two years unless approved by the Office of the Provost or his or her designee.

Section 7. Pro Tem positions will last no more than three years, unless the Provost or designee designates the position as an Ongoing Pro Tem position or gives a department or unit permission to extend the position for up to one year.

The Provost or designee can designate a Pro Tem position to be an Ongoing Pro Tem position for legitimate pedagogical or programmatic reasons.

- a. Legitimate pedagogical reasons for Ongoing Pro Tem positions include:
 - i. When a department or unit believes that the student learning experience is enhanced by having new instructors cycle into the program to meet specific course needs.
 - ii. When a department or unit identifies a position that is best taught by a working or retired professional in the industry and the position is assigned no more than three courses an academic year.
- b. Legitimate Programmatic reasons for an Ongoing Pro Tem position include:
 - i. When a department or unit offers recent PhD graduates a short-term position and there is an expectation that the new faculty members would fill this position every one-to-three years.
 - ii. When a department or unit with traditionally large fluctuations in enrollment can accommodate those fluctuations by having a reasonable number of Pro Tem positions.
- c. Inadequate or limited financial resources are not legitimate pedagogical or programmatic reasons for designating a position an Ongoing Pro Tem position.

In rare cases, the Provost or designee can give a department or unit permission to extend a Pro Tem position for one year beyond the three-year limit. In these rare cases, a faculty member may continue in the Pro Tem position for one year beyond the three-year limit.

Section 7-8. The duration of a position in the Postdoctoral Scholar classification shall be no more than three years. Postdoctoral mentors, however, may petition the Provost or designee for an extension of no more than two years. Permission to continue a position in the Postdoctoral Scholar classification for longer than three years must be granted by the Provost or designee in writing.

 Section 8-9. The University shall provide the Union with an annual report of all permissions to extend a position in the Pro Tem or Postdoctoral Scholar classification beyond three years made by the Provost or designee during the preceding academic year no later than the following September 1.

Section 9-10. Bargaining unit faculty members in the Career classification shall have the right to petition the Provost or designee to have their position recategorized if they believe that their

position was categorized incorrectly at the time of first hire or their position has evolved to more closely resemble a different category. If a petition for recategorization is denied, a bargaining unit faculty member may petition again after completion of at least one additional year of service in the position.

When a position is recategorized through this process, the bargaining unit faculty member will be assigned a new rank equivalent to their rank in the former category.

Section 10-11. Bargaining unit faculty members in the Pro Tem or Visiting or Postdoctoral Scholar classification who believe that their positions should be positions in the Career classification may petition for reclassification after the completion of two years of appointment. Because the defining characteristic of the Pro Tem and Visiting and Postdoctoral Scholar classifications is their limited duration, the decision of the Provost or designee should be guided

Section 11 12. When a position is reclassified from a non-tenure track classification into the Tenure Track and Tenured classification, a new national search is required to fill the position except when an exception has been granted by the Provost's Office. For other classifications, a national search is permissible, but not required when the original search was national in scope and when the incumbent has had successful reviews.

Section 12 13. A reclassification or recategorization shall take effect at the beginning of the next fiscal year, contract renewal, or academic year, or other date as approved by the Provost or designee.

Section 13 14. A change in rank within a category requires a promotion.

by the current and anticipated duration of the position.

1	Appendix 2: Article 16					
2 3	ARTICLE 16. NOTICES OF APPOINTMENT					
4 5	Section 1. Notice of Renewal or Nonrenewal Expectation of Employment.					
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7 8 9	a. The University shall provide notice of renewal or nonrenewal of a Career NTTF appointment that is not funding contingent via email no later than May 1st of the last year of the faculty member's current appointment.					
.0	Notice of renewal will state the following:					
.2	i. Duration of upcoming appointment; and					
.3	ii. If the upcoming appointment is contingent on funding; and iii. Expected FTE					
.5	m. Expected FIE					
.6	b. The University shall provide notice of renewal or nonrenewal of a Career NTTF					
.7	appointment that is funding contingent via email as soon as practicable.					
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.9	Notice of renewal will state the following:					
20	 i. Duration of upcoming appointment; and ii. If the upcoming appointment is contingent on funding; and 					
1	11. If the upcoming appointment is contingent on funding; and iii. Expected FTE					
2	in. Expected FTE					
.4	e. A bargaining unit faculty member with a Career NTTF appointment that is funding					
:5	contingent and who has achieved promotion shall receive at least 30 days of notice prior					
6	to the nonrenewal of his or her appointment.					
17 18 19 10 11 12	Bargaining unit faculty members with an-appointment in the Career classification (Career faculty) will be hired with the expectation of continued employment, except where specified in Section 10 and Article 19, Section 6. Their employment may only be terminated for cause (Article 24), through a program reduction or elimination (Article 25), or through layoff (Article 16). Expectations for funding-contingent Career faculty are in Section 10 below.					
4	The employment of a bargaining unit faculty member in the Pro Tem, Visiting, Postdoctoral					
5	Scholar, or Acting classifications expires in accordance with its terms and no notice is					
6	required.					
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8	Section 2. Notification. Career faculty members can be laid off from their position at any time					
9	with appropriate notice.					
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1	Career faculty members who are in their first year of employment will have a notice period of					
2	at least 30 days' before being laid off.					
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.4 .5	Career faculty members who are in their second and subsequent years of employment, but have not achieved promotion, will have a notice period of at least 90 days before being laid off.					

Career faculty members who have achieved promotion will have a notice period of at least 365
days before being laid off.

Funding-contingent Career faculty members who have achieved promotion shall receive at least 30 days-notice before being laid off. Funding-contingent Career faculty members who have not achieved promotion are not subject to notice before being laid off.

Section 2 3. Nonrenewal of Career Non-Tenure-Track Faculty Rationale Career Faculty Lay Off Rationale. The University may decline to renew the appointment of lay off a Career NTTF bargaining unit faculty member who has achieved promotion for the following reasons: in their first year of employment for any reason.

The University may lay off a Career faculty member in their second and subsequent years of employment for the following reasons:

- a. Failure to meet the standards of excellence at a major research university, as determined through the procedures developed in accordance with Article 19; or
- b. Inadequate resources within the unit or department to continue funding the bargaining unit faculty member's position; or
- c. Pedagogical or programmatic reasons, including but not limited to, departmental adjustments necessary to accommodate graduate students; or
- d. Replacement of the NTTF laid off position(s) with a Tenure-related position.

Career NTTF bargaining unit faculty members who have not yet been promoted may be non-renewed, at the discretion of the University, pursuant to the timelines provided for in Section 1.

The University shall provide a written statement documenting the reason for the nonrenewal layoff at the time of notice.

It is acknowledged that in the nonrenewal layoff decisions pursuant to this section, subsection (a), (c) and (d) rely on the University's exercise of academic judgment. Decisions made based on the basis of inadequate resources as described in (b) may or may not rely on academic judgment.

Rationale for funding-contingent Career faculty layoff are in Section 10 below.

Section 4. In situations where more than one Career faculty member could be laid off to address financial, pedagogical or programmatic needs, or to replace a position with Tenure-related position, layoffs should be based on the functions and skills required to perform necessary work. If more than one faculty member has the functions and skills to perform necessary work, layoffs shall follow earned seniority (Pre-promotion first, then Senior I, then Senior II). The order of layoffs may also take into consideration the equity goals of the university.

Section 3 5. Grievances related to non-renewal layoff decisions can be initiated at the Step 3 level pursued exclusively through Article 23, Section 11.

Section 4 6. On or before July 1 of each year, the University will send a report to the Union detailing the non-renewal decisions layoffs for that the preceding year. The report will list the department and stated reason the faculty member was non-renewed laid off.

Section 5. Lack of Renewal Notice

a. If the University does not provide a bargaining unit faculty member with notice as set forth in Section 1, they shall receive an additional payment proportional to his or her base salary for the number of days the notice was late.

b. A bargaining unit faculty member who does not receive notice as set forth in Section 1 and continues to work under the terms and conditions of the expiring appointment after that appointment expires will be paid for all work performed.

Appointments and Reappointments

Section 6. The Office of the Provost or designee shall provide a bargaining unit faculty member to be appointed or reappointed to a position subject to this Agreement with written notification of the appointment or reappointment as soon as practicable. Notice by any other means is not valid notice and does not cause the formation of an agreement between the University and the bargaining unit faculty member. Oral promises regarding terms and conditions of employment and representations made in writing by persons other than the Office of the Provost or those designated by the Provost are not binding upon the University. The notice of appointment or reappointment, which may be provided electronically such as by email or link to a website, shall include, but need not be limited to, the following:

a. Effective date of appointment;

b. Classification, category, and rank;

c. Department and title;

d. Duration of appointment, defined work periods (nine-month faculty, twelve-month, etc.) and/or if appointment is contingent on funding;

e. Tenure status, including the nature of any restrictions on eligibility for tenure and any credit for prior service; or

f. Career status, including the nature of any restrictions on eligibility for promotion and any credit for prior service;

g. Salary;

44 h. FTE:

i. Other requirements of employment.

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Section 7. Notice of Appointment Timelines for New Faculty. Notices of appointment for

new bargaining unit faculty members shall be provided as soon as practicable.

Section 8. Notice of Reappointment Timelines for Continuing Faculty

- a. The University shall provide notice of reappointment to returning Career NTTF who are not funding contingent no later than 30 days prior to the start date of their appointment.
- b. The University shall provide notice of reappointment to returning Career NTTF who are funding contingent no later than 30 days prior to the start date of their appointment, when feasible.
- **Section 8.** Career faculty will be assigned a base FTE at the time of hire. Permanent changes to that FTE for instructional Career faculty are only allowed by mutual written agreement between the bargaining unit faculty member and the Office of the Provost or Dean.
- Instructional Career faculty members may agree to temporary changes in their base annualized FTE. If an instructional Career faculty member's actual FTE exceeds their base annualized FTE for at least three years over any five-year period, either:
 - a. the instructional Career faculty member's base annualized FTE will be permanently increased to a mutually agreeable amount no less than the average of their FTE over the previous five years, or
 - b. the instructional Career faculty member's FTE cannot be temporarily increased again.
- This section only applies to annualized FTE assignments up to 1.0 during the regular academic year.
- **Section 9.** The University will provide a bargaining unit member with written information concerning duties, responsibilities and institutional expectations. The University shall provide such written information, which may be provided electronically such as by email or link to a website, within a reasonable time of the notice of appointment or reappointment and whenever significant changes occur. The written information shall include:
 - a. Professional responsibilities (see Article 17)
 - b. Link to faculty handbook and relevant school, college, or department policies
- Section 10. One-Year Appointments. Bargaining unit faculty members who have appointments with the Career classification and rank of instructor, lecturer, research assistant, research associate, assistant clinical professor, or research assistant professor shall have at least one year appointments during their first four academic or fiscal years of employment in rank.
- Section 11. Two-Year Appointments. Bargaining unit faculty members who have appointments with the Career classification and rank of instructor, lecturer, research assistant, research associate, assistant clinical professor, or research assistant professor shall have at least

two-year appointments after their first four academic or fiscal years of employment in rank. 1 2 3 Section 12. Three-Year Appointments. Bargaining unit faculty members who have 4 appointments with the Career classification and rank of senior instructor I, senior instructor II, senior lecturer I, senior lecturer II, senior research assistant I, senior research assistant II, senior 5 research associate I, senior research associate II, associate clinical professor, clinical professor, 6 research associate professor, research professor or professor of practice shall have at least 7 8 three year appointments. 9 10 Section 13. The status quo with respect to length of contracts for Librarians shall be maintained 11 subject to the provisions of Article 19, Section 6. 12 13 Section 14 10. Length of Funding-Contingent Appointments. Notwithstanding Sections 8 11 of this Article the terms set above, funding contingent an appointments cannot be issued for 14 15 longer than are ongoing for as long as funding for the position is known to be available. Further, regardless of appointment length and in contrast to appointments that are not funding 16 17 contingent, aA funding-contingent appointment can be terminated due to lack of funding, changing programmatic needs, or poor performance by the bargaining unit faculty member 18 holding the appointment, subject to the notice requirements of Section 4 2 of this article. 19 20 Before terminating a funding-contingent appointment for a bargaining unit faculty member's 21 poor performance, the University must meet with the bargaining unit faculty member to discuss 22 23 the poor performance and provide the bargaining unit faculty member with written instructions 24 and a timeline to remedy the poor performance. 25 26 Changes in FTE for funding contingent Career faculty are allowed by mutual agreement or 27 change in funding, programmatic need, or performance. 28 29 Section 15 11. Appointment or reappointment duration for bargaining unit members in the 30 Pro Tem, Visiting, Postdoctoral Scholar, or Acting classifications is at the discretion of the University, in compliance with the provisions of this Agreement. 31 32 33 Section 16 12. The duration of the appointment for a Postdoctoral Scholar and the provisions for appointment, renewal, or nonrenewal will be specified at the time of hire and included in the 34 35 written notification of appointment. 36 37 Section 17. Length of Pro Tempore Appointments. Pro Tem positions will last no more than three years, unless the Provost or designee designates the position as an Ongoing Pro Tem 38 39 position or gives a department or unit permission to extend the position for up to one year. 40 41 The Provost or designee can designate a Pro Tem position to be an Ongoing Pro Tem position 42 for legitimate pedagogical or programmatic reasons. 43 a. Legitimate pedagogical reasons for Ongoing Pro Tem positions include:

i. When a department or unit believes that the student learning experience is enhanced

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- by having new instructors cycle into the program to meet specific course needs.
- ii. When a department or unit identifies a position that is best taught by a working or retired professional in the industry and the position is assigned no more than three courses an academic year.

b. Legitimate Programmatic reasons for an Ongoing Pro Tem position include:

i. When a department or unit offers recent PhD graduates a short-term position and there is an expectation that the new faculty members would fill this position every one to three years.

ii. When a department or unit with traditionally large fluctuations in enrollment can accommodate those fluctuations by having a reasonable number of Pro Tem positions.

c. Inadequate or limited financial resources are not legitimate pedagogical or programmatic reasons for designating a position an Ongoing Pro Tem position.

In rare cases, the Provost or designee can give a department or unit permission to extend a Pro Tem position for one year beyond the three year limit. In these rare cases, a faculty member may continue in the Pro Tem position for one year beyond the three year limit.

 Section 18 13. The University supports and encourages, where feasible and appropriate, the creation of NTTF appointments at 0.50 FTE or above. The University may not appoint a Career NTTF at an FTE level of below 0.50 FTE to preclude providing benefits. Aggregate appointments across two or more departments that total 0.50 FTE or above will receive benefits.

 Section 19 14. Tenure-Track and Tenured. The initial appointment in the Tenure-Track and Tenured classification will usually be to the rank of assistant professor, without tenure, and for a period of three years unless the University and the bargaining unit faculty member agree to a shorter duration. At the time of hire, the University and the bargaining unit faculty member may agree upon credit toward tenure for prior service. Such agreement will be documented in the initial appointment. The University and the bargaining unit faculty member may agree to reduce or forego the credit for prior service. Such agreement will be documented in a revised notice of appointment.

Section 20 15. If an appointment of a full-time, tenure-track bargaining unit member is not to be renewed for reasons other than for just cause (Article 24) or program elimination or reduction (Article 25), notice of nonrenewal shall be given in writing as follows: during the first annual appointment, by March 15 for those whose contracts expire on or about June 15, or at least three months' notice given prior to expiration of the appointment, whichever is longer; during the second year of service, by December 15 for those whose contracts expire on or about June 15, or at least six months' notice given before expiration of the appointment, whichever is longer; in the third and subsequent years of service, at least 12 months' notice, which may be given at any time.

Section 21 16. Impact of enrollment on Career NTTF appointments. In the event of course

cancellation for insufficient enrollment:

a. The University will work with the affected faculty member to determine if it is possible to replace the course assignment with an equivalent course assignment within the same appointment period and academic year. The assignment of an equivalent course pursuant to the Section shall not be considered an overload assignment.

b. If it is not possible to replace the course assignment within the same appointment period and academic year, the department may provide an equivalent, alternative assignment consistent with the department's workload policy. Examples of such work include but are not limited to the following: advising; determining course equivalencies for transfer credit; assessment projects; curriculum development; substitute teaching; recruiting for study abroad programs. The equivalent, alternative assignment must be completed during the same term the cancelled course was scheduled.

c. If assignments cannot be made under (a) and (b) of this section, the bargaining unit faculty member shall be assigned faculty-related work by the Dean's office.

Section 22-17. There will not be notices of appointment associated with Summer Session instructional appointments. The provisions of Summer Session appointments will be communicated in writing or email in accordance with Article 18.

Appendix 3: Article 19

ARTICLE 19. NON-TENURE TRACK FACULTY (NTTF) REVIEW AND PROMOTION

Section 1. Reviews for Career NTTF faculty will include: (1) regular reviews associated with contract renewal; and (2) performance and promotion reviews. If a bargaining unit faculty member seeks promotion in a year when a contract performance review is due, only a single review must be completed is required. The decision on whether to promote and the decision on whether to renew, however, must be made independently.

Section 2. Policies and Procedures. The faculty in each department or unit that employs NTTF Career faculty will develop written procedures and criteria for NTTF Career faculty, which must comport with the general guidelines in Section 4 of this Article. Each department or unit's promotion process will include a promotion review committee which should include Tenure-Track and Tenured faculty and, where possible, NTTF Career faculty at or above the rank sought by the candidate. Each policy will include a process for reviewing the performance of any Pro Tem faculty employed by the department or unit. Each policy will also address whether internal and/or external reviewers will be included in the review and promotion process and how internal and/or external reviews will be conducted. If reviewers external to the unit or university are included, they should be reviewers who can present a knowledgeable and objective evaluation of the candidate and his or her qualifications. Internal and/or external reviewers must be asked to base their evaluation and judgment on the criteria in use by the academic department or program.

The faculty in each department or unit will begin the process of developing a written policy setting forth the procedures and criteria for NTTF Career faculty review and promotion, by first considering any input provided by the department or unit head, dean, vice president, or the Office of the Provost, or designee. The faculty will submit their recommended policy to the appropriate dean, unit head, or designee for review. The dean, unit head, or designee will document and discuss any revisions they make to the policy with the faculty before submitting his or her their recommended policy to Academic Affairs the Office of the Provost or the Vice President for Research and Innovation, as appropriate, who will have final authority to establish the policy for each department or unit. If the dean, unit head or Academic Affairs Office of the Provost, or the Vice President for Research and Innovation materially alters the faculty-recommended policy they will provide a written explanation for the change(s) to the faculty in the department or unit. The department or unit head, dean, vice president, Provost, or designee may initiate changes to established policies by informing the appropriate faculty of the change being considered, thereby initiating the process described in this Section.

Faculty initiated revisions will follow the process set forth in Article 4, Section 4.

The procedures and criteria for review and promotion must be made available to bargaining unit faculty members upon request, and published on the Academic Affairs Office of the Provost or Research and Innovation website and in the department or unit. If procedures or criteria change

during the course of an NTTF Career faculty bargaining unit member's employment, the bargaining unit faculty member may elect between current criteria and those in effect during the six years prior to the initiation of a given review or promotion process.

Reviews Associated with Contract Renewal for Career NTTF Performance Reviews for Career Faculty

Section 3. Sections 3 through 5 apply to contract reviews for Career NTTF. Reviews for Career NTTF are for the purpose of determining if the NTTF Performance reviews for Career faculty are for the purpose of determining if the Career faculty member is meeting the standard of excellence appropriate to a major research university based on their job duties. They Performance reviews should be designed to help the NTTF Career faculty bargaining unit members grow as educators, scholars, and researchers and educators, identify areas of strength, and identify areas that need improvement associated with their postion.

Section 4. While tThe details and structure of performance reviews are the responsibility of the University, reviews must described in department and unit policies, and must follow University standards, templates, and the following these general guidelines:

a. Career NTTF faculty must be reviewed in each contract period prior to consideration for renewal or once every three academic or fiscal years of employment, whichever is sooner. In the event a bargaining unit member has multiple contracts in a year, only one review per fiscal or academic year will be required each year for the first three years of employment and at least once every three years (academic years for 9 month and fiscal years for 12 month) of employment thereafter. The review will consider the Career NTTF bargaining unit faculty member's performance since the last review.

b. Performance reviews may take place out of cycle when a department or unit head has identified or become aware of performance problems. The department or unit head shall meet with the Career faculty member to discuss areas of concern and evaluate whether a formal performance review is warranted. Nothing in this section changes the process for addressing poor performance for funding-contingent faculty set forth in Article 16, Section 11.

c. For instructional Career NTTF, student course evaluations will be offered for all courses with five or more students. The evaluation of teaching will include a review of evaluations for each course taught. Career instructional faculty reviews must consider at minimum information from Student Experience Surveys, peer review(s), and the faculty member's Instructor Reflection surveys and/or teaching statements for the review window. For instructional Career faculty, student experience surveys will be offered for all courses with five or more students. Career NTTF bargaining unit members Instructional Career faculty are expected to undergo at least one peer review of teaching per contract period review window. The department or unit will identify the standards to be applied to these reviews and will establish a time frame for notification to the Career NTTF bargaining unit faculty member before a peer review is conducted.

- d. Career NTTF faculty in with research appointments will be reviewed by established procedures to assess the quality of work performed and the outcomes of their contributions to the research program.
- e. Librarians will be reviewed for demonstrated achievement in their professional roles in the Library.
- f. Career faculty will be reviewed based on their service. Service is defined in unit level rules and may include activities performed for the unit, University, field, and community.
- g. To the extent applicable, the evaluation of scholarship, research, and creative activity will include an assessment of work quality, impact on the field nationally and internationally, and overall contribution to the discipline or program.
- h. The review process will include an opportunity for the Career NTTF bargaining unit faculty member to discuss his or her their efforts and performance with an appropriate supervisor at least once during each contract review period.
- i. As part of each contract performance review, a Career NTTF faculty member will have an opportunity to submit a personal statement containing information relevant to his or her their performance of assigned duties and responsibilities.
- j. In evaluating the performance of required professional development activities, the review will consider the availability of professional development funds, opportunities for professional development, and the Career NTTF bargaining unit faculty member's efforts to secure funding.
- k. Career NTTF bargaining unit faculty members will be evaluated only by the criteria approved and made available to the faculty member.
- I. Performance reviews must include a determination if the faculty member meets, exceeds, or does not meet expectations in their assigned duties.

Promotion Reviews for Career NTTF Faculty

Section 5. Eligibility for Promotion. Career NTTF faculty members will be eligible for promotion after accumulating six years of employment as a faculty member at or above 0.3 annualized FTE per year, accrued at no greater than three terms per academic year for bargaining unit faculty on nine month contracts, and at four terms per year for bargaining unit faculty on 12-month contracts.

- The six years of employment do not have to be consecutive.
- Career NTTF faculty members who will have completed five years of employment as a faculty member at or above 0.3 annualized FTE per year may initiate the promotion process in the

Spring term of the fifth year if they have an expected appointment of 0.3 annualized FTE or greater for the sixth year.

Career NTTF faculty members who have completed more than five years of employment as a faculty member at or above 0.3 annualized FTE per year may initiate the promotion process in the Spring term of any year.

Career NTTF faculty members who have achieved promotion must wait at least three years before initiating the promotion process again, regardless of the number of accumulated years of employment.

Section 6. "Up or Out." For all Career NTTF bargaining unit faculty members other than librarians, promotion is elective and does not involve an "up or out" decision. Career NTTF who do not wish to be considered for promotion may continue employment at their current rank as long as eligible to do so under Article 16, Contracts. Librarians must undergo review for promotion to associate librarian at the first time they become eligible. If promotion is denied, the librarian will receive a two-year contract fixed-term appointment, and must come up for promotion again in the second year. If promotion is denied a second time, the librarian will receive a one year terminal appointment finish their appointment at the end of the fixed-term appointment. Promotion from associate librarian to senior librarian is elective.

Section 7. Accelerated Review. An accelerated promotion review may occur in particularly meritorious cases as determined by the Provost or designee in consultation with the appropriate vice president, dean, department or unit head, and affected bargaining unit faculty member.

Section 8. Credit for Prior Service. When credit for prior service is agreed upon, the terms of hire will state the number of years of credit granted and the earliest date for promotion eligibility. Teaching, scholarship, research, and creative activity completed by the bargaining unit faculty member during the period of prior service will receive full consideration during the promotion process if the bargaining unit member elects the earliest date for promotion review. Should a bargaining unit member who received credit for prior service at the time of hire choose to delay the review until completing the required six years at the University of Oregon, teaching, scholarship, research, and creative activity completed prior to arrival at the university will be of secondary consideration during the promotion process. Should the bargaining unit faculty member choose to use some, but not all of the credit for prior service, the focus of the review of teaching, scholarship, research, and creative activity will adjust appropriately so that, for example, four years at the University of Oregon would mean that at most two years of prior service will receive full consideration.

Section 9. Joint Appointments. A joint appointment is one appointment that spans two or more units. For NTTF bargaining unit members holding joint appointments, a memorandum will be completed at the time of hire or assignment specifying expectations for promotion review and identifying how the promotion process will be handled among the units. Such memorandum is not valid unless approved in writing by the bargaining unit faculty member and the Provost or designee.

Section 10. Multiple Appointments. A multiple appointment describes when a bargaining unit faculty member has separate appointments in two or more units. For NTTF Career faculty bargaining unit members holding multiple Career appointments, a memorandum will be completed at the time of a second or subsequent hire or assignment specifying expectations for promotion review and identifying how the promotion process will be handled among the units. Such memorandum is not valid unless approved in writing by the bargaining unit faculty member and the Provost or designee.

Promotion Process for All Career NTTF Faculty except for those in the Research Assistant and Research Associate Categories

Section 11. Initiating the Promotion Process. Candidates wishing to be considered for promotion should notify the appropriate department or unit head in the Spring term prior to the year when promotion is sought, and must provide the following:

Curriculum vitae: A comprehensive and current curriculum vitae that includes the
bargaining unit faculty member's current research, scholarly, and creative activities and
accomplishments, including publications, appointments, presentations, and similar
activities and accomplishments.

• **Personal statement:** A 2-6 page personal statement developed by the bargaining unit faculty member evaluating his or her performance measured against the applicable criteria for promotion. The personal statement should expressly address the subjects of teaching; scholarship, research and creative activity; and service contributions to the academic department, center or institute, school or college, university, profession, and the community. The statement should also include discussion of contributions to institutional equity and inclusion.

• **Teaching portfolio (if applicable):** Representative examples of course syllabi or equivalent descriptions of course content and instructional expectations for courses taught by the bargaining unit faculty member, examples of student work and exams, and similar material.

 Scholarship portfolio (if applicable): A comprehensive portfolio of scholarship, research and creative activity; and appropriate evidence of national or international recognition or impact.

• Service portfolio (if applicable): Evidence of the bargaining unit faculty member's service contributions to his or her academic department, center or institute, school or college, university, profession, and the community, such as op ed pieces, white papers authored or co-authored by the faculty member, commendations, awards, or letters of appreciation. The portfolio may also include a short narrative elaborating on the faculty member's unique service experiences or obligations.

waive in advance in writing their access to see any or all of the evaluative materials (see Article 8, Personnel Files). Such waivers, however, shall not preclude the use of redacted versions of these documents in a denial review process. The redacted versions are intended to protect the identity of the reviewer. If redactions are insufficient to do so, the University may prepare a suitable summary. A waiver will be included in the promotion file.

Section 13. Notice of Meetings. A bargaining unit faculty member will receive at least three days' notice of any meeting or hearing which the member is invited or required to attend, with a dean or the Provost or designee regarding recommendations or decisions on promotion. The bargaining unit faculty member may have a colleague or Union representative present at the meeting as an observer.

Section 14. Evaluation file. The promotion review file should generally include the following information:

- Statement of duties and responsibilities
- Curriculum vitae
- Conditions of appointment
- Criteria for promotion
- Personal statement
 - Supervisors' letters of evaluation
 - Professional activities portfolio (if applicable)
 - Teaching portfolio (if applicable)
 - Scholarship portfolio (if applicable)
 - Service portfolio (if applicable)
 - Internal and/or external reviews (if applicable)
 - Department or unit committee recommendation
 - Department, unit, center or institute head's recommendation (if applicable)
 - Vice president's, dean's or director's recommendation
 - Waiver of access to materials (if applicable)

 Section 15. Review by Department or Unit. The department or unit head or designee should solicit any internal and/or external reviews, as applicable. A department or unit committee will review the file and make a recommendation to the department or unit head. The department or unit head will then prepare an explanation of the merits of the promotion case and a recommendation on the case. The report will include the department or unit-level promotion committee report and recommendation and a voting summary, and the department or unit

head's own independent recommendation. The file will then be sent to the appropriate vice president, dean or director for review.

Section 16. Review by Vice President, Dean or Director. The vice president, dean, or director, as appropriate, will review the file, and may consult with appropriate persons and may ask for and document additional non-confidential information. Once the vice president, dean, or director deems the file complete, they will prepare a separate memorandum and recommendation. The vice president, dean, or director will share his or her their memorandum and recommendation with the candidate and allow them 10 days from the date of receipt of the memorandum to provide responsive material or information, which shall be included in the evaluation file. The vice president, dean, or director then will submit the complete evaluation file to the Provost or designee.

Section 17. Review by the Provost or Designee. The Provost or designee will review the file, with input from Academic Affairs and the Office of the Vice President for Research and Innovation, as appropriate, and decide whether to grant or deny promotion. The candidate will be notified of the decision in writing.

Section 18. Assumption of New Rank. Successful candidates for promotion will assume their new rank beginning with the next academic or fiscal year or the nearest next term of employment should their contract appointment not begin with fall term.

Promotion Process for Research Assistant and Research Associate Series

Section 19. Initiating the Promotion Process for Faculty in the Research Assistant and Research Associates Categories. Candidates wishing to be considered for promotion should notify the appropriate department or unit head in the Spring term prior to the year when promotion is sought, and must provide the following:

• Curriculum vitae or resume: A comprehensive and current curriculum vitae or resume that includes the bargaining unit faculty member's current research, scholarly and creative activities and accomplishments, including publications, appointments, presentations, and similar activities and accomplishments.

• **Personal statement:** A 2-6 page personal statement developed by the bargaining unit faculty member evaluating his or her performance measured against the applicable criteria for promotion. The personal statement should expressly address his or her their impact and contribution to research excellence relative to their job duties. This statement should also include discussion of contributions to institutional equity and inclusion.

• **Scholarship portfolio** (**if applicable**): A comprehensive portfolio of scholarship, research and creative activity; and appropriate evidence of national or international recognition or impact.

• **Service portfolio (if applicable):** Evidence of the bargaining unit faculty member's service contributions to his or her academic department, center or institute, school or

college, university, profession, and the community, such as op ed pieces, white papers authored or co-authored by the faculty member, commendations, awards, or letters of appreciation. The portfolio may also include a short narrative elaborating on the faculty member's unique service experiences or obligations.

• **Professional activities portfolio (if applicable):** A comprehensive portfolio of professional or consulting activities related to his or her their discipline.

• **List of reviewers (if applicable):** A list of qualified internal and/or external reviewers provided by the bargaining unit faculty member. Normally, external reviews are not expected for those in the research assistant ranks.

Section 20. Waiver of Access to Materials. Bargaining unit faculty members may choose to waive in advance in writing their access to see any or all of the evaluative materials (see Article 8, Personnel Files). Such waivers, however, shall not preclude the use of redacted versions of these documents in a denial review process. The redacted versions are intended to protect the identity of the reviewer. If redactions are insufficient to do so, the University may prepare a suitable summary. A waiver will be included in the promotion file.

 Section 21. Notice of Meetings. A bargaining unit faculty member will receive at least three days' notice of any meeting or hearing which the member is invited or required to attend, with a dean or the Provost or designee regarding recommendations or decisions on promotion. The bargaining unit faculty member may have a colleague or Union representative present at the meeting as an observer.

Section 22. Evaluation file. The promotion review file should generally include the following information:

- Statement of duties and responsibilities
- Curriculum vitae
- Conditions of appointment (i.e. copy of a current contract)
- Criteria for promotion
- Personal statement
 - Supervisors' letters of evaluation
 - Professional Activities Portfolio (if applicable)
 - Scholarship Portfolio (if applicable)
 - Service Portfolio (if applicable)
 - Internal and/or external reviews (if applicable)
- Department, unit, center or institute head's recommendation
 - Vice president's, dean's or director's recommendation
 - Waiver of access to materials (if applicable)

 Section 23. Review by Department Head or Unit Director or Manager. The department or unit head or designee should solicit any internal and/or external reviews, as applicable. The department or unit head will then review the file, including any internal or external reviews, and

prepare a recommendation and an explanation of the merits of the promotion case. The file will then be sent to the appropriate vice president or dean for review. In the event that the unit head is the faculty member's supervisor/director/manager, the supervisor letter of evaluation and the unit head review may be combined into a single recommendation.

Section 24. Review by Vice President, Dean or Director. The vice president or dean, as appropriate, will review the file, and may consult with appropriate persons and may ask for and document additional non-confidential information. Once the vice president or dean deems the file complete, they will prepare a separate memorandum with a recommendation. The vice president or dean will share his or her their memorandum and recommendation with the candidate and allow them 10 days from the date of receipt of the report to provide responsive material or information, which shall be included in the evaluation file. The vice president or dean director then will submit the complete evaluation file to the Provost or designee.

Section 25. Review by the Provost or Designee. The Provost or designee will review the file, with input from Academic Affairs and the Office of the Vice President for Research and Innovation, as appropriate, and decide whether to grant or deny promotion. The candidate will be notified of the decision in writing.

Section 26. Assumption of New Rank. Successful candidates for promotion will assume their new rank beginning with the fiscal year or with the next contract renewal after notification by the Provost of their promotion, whichever comes first.

Reapplication, Appeals, and Withdrawal

Section 27. Reapplication for Promotion. An unsuccessful candidate for promotion may continue employment at his or her current rank as long as eligible to do so under this Agreement. NTTF Career faculty bargaining unit members who are denied promotion may reapply for promotion after having been employed by the university for an additional three years at an average of 0.3 FTE or greater, accrued at no greater than three terms per academic year.

Section 28. Appeal of Promotion Denial. Faculty who are denied promotion may appeal the decision through the procedures in Article 21, Tenure and Promotion Denial Appeal.

Section 29. Withdrawal of Application. A candidate may withdraw an application for promotion in writing to the Provost and the dean at any time before the Provost's decision.

1	Appendix 4: Article 23
2 3	ARTICLE 23. ARBITRATION
4	ARTICLE 23. ARBITRATION
5	Arbitration for Grievance Resolution
6	Section 1. If the grievance brought under Article 22, Grievance Procedure, is not
7	resolved at Step 3, the Union may submit the matter to arbitration.
8	The same of the sa
9	Section 2. Notice of intent to arbitrate must be filed with the Provost within 30 days of date
10	of issuance of the Step 3 decision.
11	
12	Section 3. Within 10 days of receipt of the notice of intent to arbitrate, the parties shall meet to
13	attempt to agree upon an arbitrator. If the parties are unable to agree upon an arbitrator within
14	five days of the meeting, the party initiating arbitration shall request the Oregon Employment
15	Relations Board to submit a list of five arbitrators with experience in higher education faculty
16	employment cases, none of whom shall be an employee of the University, the Union, the AFL-
17	CIO, the AFT, the AAUP, or any other labor organization, unless both parties agree otherwise
18	in writing
19	
20	Each party shall alternately strike one name from the list of five. The parties will flip a coin to
21	decide which party strikes first. The last remaining person on the list shall be selected as the
22 23	arbitrator.
23 24	Section 4. At least 10 days in advance of the scheduled hearing, the parties shall meet to draft a
25	submission agreement. They shall attempt to agree on the precise issue to be submitted to
26	arbitration, a stipulation of facts, joint exhibits, and any other matter designed to expedite the
27	arbitration process.
28	another process.
29	If the parties are unable to agree on the precise issue to be submitted, each party shall submit
30	its own version of the issue and the arbitrator shall decide the precise issue to be arbitrated.
31	•
32	Section 5. The arbitrator shall hold the hearing in Eugene, Oregon unless otherwise agreed in
33	writing by the parties. The hearing shall be held without unreasonable delay upon the
34	arbitrator's acceptance of the case.
35	
36	If the arbitrator or either party requests that post-hearing briefs be submitted, the arbitrator
37	shall establish a date for the submission of such briefs.
38	
39	Section 6. In a proceeding under this Article for which there is a submission agreement, the firs
40	matter to be decided is the arbitrator's jurisdiction to act. If arbitrability is in dispute, the
41	arbitrator shall hear the parties on the question and may take whatever evidence he or she finds
42	relevant and necessary before determining arbitrability. Upon concluding that the issue is
43	arbitrable, the arbitrator shall proceed with the case, with each party retaining the right to seek

judicial review of the arbitrator's decision as to jurisdiction. Upon concluding that the arbitrator

has no jurisdiction, the arbitrator shall not hear the matter or make any decision or

44

recommendation regarding the merits of the case. This provision may be waived upon agreement of both parties.

In the absence of a submission agreement, the arbitrator shall first decide the issue to be arbitrated, and then the question of the arbitrator's jurisdiction.

Section 7. The arbitrator derives authority wholly and exclusively from this Agreement. The arbitrator shall not add to, subtract from, modify, or alter the terms or provisions of this Agreement. Decisions relating to promotion or tenure may be challenged exclusively through the appeal process in Article 21, Appeal from the Denial of Tenure or Promotion.

 Except as otherwise provided in this Agreement, the arbitrator shall have no authority to decide any issue relating to the merits of any academic judgment. For the purposes of this Agreement, "academic judgment" means a judgment by the University and those acting on its behalf concerning competence, performance, or academic standards. In cases involving academic judgment, the arbitrator shall not substitute their judgment for that of the University, nor shall the arbitrator review such decision except for the purpose of determining whether the procedural steps provided in this Agreement have been followed. If the arbitrator determines that procedural steps have not been followed where an exercise of academic judgment is involved, the arbitrator shall direct that the matter be reconsidered by the appropriate decision maker in accordance with relevant procedural steps.

Under no circumstances may an arbitrator override an academic judgment to direct that a bargaining unit faculty member be reinstated, appointed, reappointed, promoted or awarded tenure.

The arbitrator shall have no authority: (a) to award monetary damages, fines or penalties, except for back pay or benefits; (b) to make a decision limiting or interfering in any way with the powers, duties, or responsibilities of the University which have not been expressly limited by this Agreement; or (c) to consider the discipline of members of another bargaining unit or other University employees who are not members of the bargaining unit represented by this Union in rendering a decision.

Section 8. The arbitrator shall issue a decision within 30 days of the close of the hearing unless the parties have agreed to additional time. The decision of the arbitrator shall be in writing and shall set forth findings of fact, reasoning and conclusions on the issues submitted. The decision of the arbitrator shall be final and binding upon the parties as to the issues submitted, provided that either party may seek judicial review of the decision as provided by law.

Section 9. All fees and expenses of the arbitrator shall be paid by the party not prevailing in the matter.

- Each party shall bear the cost of preparing and presenting its own case. Expenses of witnesses, if any, shall be borne by the party calling the witness. The costs of any transcripts of the
- hearing required by the arbitrator shall be divided equally between the parties and each party
- will be furnished a copy. If either party wishes a transcript of the hearing, it may have one

made at its own expense and shall be under no obligation to provide the arbitrator or the other party with a copy.

Section 10. The compensation of any bargaining unit faculty member called as a witness and/or serving as the Union representative in an arbitration hearing shall not be reduced for a reasonable period of time to prepare for and to give testimony at the hearing, or in the case of the Union representative, to represent the Union at the hearing. Every effort shall be made to avoid unduly disrupting the work of any bargaining unit faculty member called to serve as a witness.

Expedited Arbitration for Challenging Career Faculty Layoff Decisions

 Section 11. Challenges to non-funding contingent Career Faculty layoff decisions made under Article 16 will bypass the grievance process in Article 22 and related MOUs and will be resolved exclusively through an expedited arbitration process. The expedited arbitration process is intended to resolve a challenge to a layoff decision within 90 days of a bargaining unit faculty member receiving layoff notice. Except as specifically provided for below, the provisions outlined above and in Article 16, Section 3 apply to the expedited arbitration process.

 Section 12. The Union must file an intent to challenge a Career layoff within 15 days of the bargaining unit faculty member receiving notice of layoff. A layoff notice is deemed received on the day it was sent to the official @uoregon.edu email address of the bargaining unit faculty member.

Section 13. The parties will pre-select an arbitrator to hear challenges to layoff decisions. If the agreed upon arbitrator is not available, the parties will mutually agree on a different arbitrator using the process described in Section 3.

Section 14. The Union will have the burden of proof to demonstrate that there has been a violation of the layoff process, the reviewable provisions of Article 16.3, or any other provision of the Agreement or University policy that relates to the expedited review process. This means that the Union is required to meet their burden of proof requirement before the University is required to demonstrate why they believe no violation occurred.

 Section 15. Arbitration decisions will be made within 90 days of the layoff notice being given unless the parties have agreed to additional time. The decision of the arbitrator shall be in writing and shall set forth findings of fact, reasoning, and conclusions on the issues submitted. The decision of the arbitrator shall be final and binding upon the parties as to the issues submitted, provided that either party may seek judicial review of the decision as provided by law.

Section 16. The parties will divide equally the cost of retaining an arbitrator for the expedited process. All additional fees and expenses of the arbitrator shall be paid by the party not prevailing in the matter.

Appendix 5: Article 26

Section 1. Raises in FY 19

a. TTF. The University will establish a pool for raises for all Tenure-Track and Tenured faculty members equal to 2.0% of the group's total base salary (prorated for FTE) in FY 19.

ARTICLE 26. SALARY

i. The University will distribute 1.25% of the of the group's total base salary (prorated for FTE) in FY 19 as an across-the-board increase. To be eligible for the across-the-board increase, the Tenure-Track or Tenured faculty member must have an appointment on December 31, 2018.

 ii. The University will use up to .75% of the group's total base salary (prorated for FTE) in FY 19 to distribute diversity equity raises for all eligible Tenure-Track and Tenured faculty members. Eligibility for a diversity equity raise will be determined through the TTF equity study being conducted in FY 18 pursuant to a Memorandum of Understanding executed between UA and UO on February 17, 2017. Tenure-Track and Tenured faculty members with unexplained salary inequities potentially related to race, ethnicity, or gender will receive an equity salary adjustment from this pool.

iii. If .75% of the group's total base salary is insufficient to adequately address inequities described in Section 1(a)(ii) above, the University will make a plan for equity salary adjustments with non-pool funds to address issues arising out of the TTF equity study.

iv. If the full .75% is not needed to address any inequities described in Section 1(a)(ii), the remaining funds shall be returned to the across-the-board pool described in section 1.a.i.

b. Career NTTF. The University will give all Career Non-Tenure Track bargaining unit faculty members a 2.0% across-the-board increase. To be eligible for the across-the-board increase, the Career NTTF bargaining unit members must have an appointment on December 31, 2018.

c. FY19 raises for will be effective as of January 1, 2019.

Section 2. Raises in FY 20

 a. **TTF Merit.** The University will establish unit-based pools for merit raises for Tenure-Track and Tenured faculty members equal to 1.625% of the unit's total base salary (prorated for FTE) in FY 20. To be eligible for the merit raise, the Tenure-Track or Tenured faculty member must have an appointment on December 31, 2019.

b. **TTF External Equity.** The University will establish a pool for external equity raises for all Tenure-Track and Tenured faculty members equal to .50% of the group's total base salary (prorated for FTE) in FY 20.

In early FY 20, all TTF base salaries will be measured on a department by department basis against the appropriate unit and rank salaries of their AAU Public Peers based on the latest data published from the AAU Data Exchange. The University and the Union will meet to determine the best way to distribute the money in the external equity pool given the amount of money available. All faculty in departments where departmental base salary averages, as defined by AAU data, for their rank are less than 90% of their appropriate AAU Public Peers in rank are expected to see some level of external equity raise.

c. **Career NTTF Merit.** The University will establish unit-based pools for merit raises for Career Non-Tenure-Track faculty members equal to 2.125% of the unit's total base salary in FY 20. To be eligible for the merit raise, the Career Non-Tenure-Track faculty member must have an appointment on December 31, 2019. Units without any faculty members in the bargaining unit are not covered by this section.

d. Raises for FY 20 will be effective as of January 1, 2020.

Section 3. Funding Contingent Faculty

a. In no case will a funding contingent faculty member be awarded retroactive salary increases. In lieu of retroactive pay, funding contingent faculty members will be entitled to a lump-sum equivalent to the retroactive pay to be distributed no less than three months after the retroactive pay would have otherwise been provided.

b. Funding contingent faculty who are principal investigators on the sponsored project that funds their own salary may petition the Provost or designee to delay or forgo an increase in their own salary as required under this Agreement.

Section 4. Salary Floors

a. The following minimum salary floors will be in effect for all NTTF bargaining unit faculty members in the Career or Retired classifications:

i. PE/Rec \$26,000
 ii. Research Assistants \$34,000
 iii. All Others \$39,000

b. The minimum salary floor for Pro Tem and Visiting NTTF bargaining unit faculty members will be 90% of the corresponding career floor.

c. The salary floor for Postdoctoral Scholars on 9-month appointments will be no less than the salary listed in 4.a.iii.

d. The minimum salary floor for Postdoctoral Scholars on 12-month appointments will be

no less than the amounts set according to the NIH Postdoctoral minimum salary schedule and the floor will be adjusted each year pursuant to that NIH schedule.

Section 5. Promotion Raises

a. All bargaining unit faculty members in the Tenure-Track and Tenured classification who achieve promotion will receive an increase of at least 8% of base salary.

 b. Full professors who successfully complete their first major review after promotion to full professor in the highest category (exceeds expectations, fully satisfactory, positive evaluation on all criteria) will receive an increase of at least 8% of base salary. Full professors who successfully complete their first major review after promotion to full professor in the second highest category (meets expectations, satisfactory, positive on some but not all criteria) will receive an increase of at least 4% of base salary. Full professors who successfully complete subsequent major reviews will receive an increase of at least 4% of base salary.

c. All Career Non-Tenure Track bargaining unit faculty members who achieve promotion will receive an increase of at least 8% salary. These raises will become effective with the next regular appointment concurrent with or following the effective date of the promotion.

Section 6. Retention Adjustments. To facilitate retention salary adjustments, the Provost or designee will establish a retention salary adjustment policy describing the criteria and procedures to be used in making retention adjustments for bargaining unit faculty members.

The retention salary adjustment policy will be published on the Academic Affairs website.

The Provost or designee will notify the Union of any retention adjustments made to the salary of a bargaining unit faculty member.

Section 7. Payment of Salary. Bargaining unit faculty members may opt to be paid in 12 equal monthly installments consistent with IRS regulations. Salary shall be paid by direct deposit except in the case of emergency or unless another method of payment is required by law.

 Section 8. Academic Extension. Notwithstanding other provisions of this agreement, assignments in the academic extension program may be compensated at a rate to be agreed to by the academic extension administration and the bargaining unit faculty member without regard to the bargaining unit member's existing base salary. Compensation for assignments in the academic extension program may be lump sums.

Section 9. Workload Adjustments.

a. If a NTTF bargaining unit faculty member has their FTE reduced with no demonstrable corresponding reduction in workload, then the bargaining unit faculty member's base

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2				
3	b. If a NTTF bargain	ning unit faculty member	has their workload signif-	icantly increased with
4	no corresponding	increase in FTE, then the	e bargaining unit faculty n	nember's base salary
5	will be increased	in proportion to the work	load increase.	

salary will be increased in proportion to the FTE reduction.

Both parties recognize that professional responsibilities ebb and flow throughout a contract period the year. The provisions of this section are not meant to address minor or normal fluctuations in workload.

Section 10. For a period of two years post lay off, Career faculty members who are laid off for academic or financial reasons (Article 16, Section 2.b & c.) and who are rehired in the same category must retain the same FTE as of the time of layoff. Laid off Career faculty members hired back into the same department or unit will be hired back at the same FTE and salary.

Please sign: 2021-01-21_Career Employment Implementation MOU

Final Audit Report 2021-02-03

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